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November 22, 2006

VIA U.S. FIRST CLASS MAIL

Mail Stop AF (After Final)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/077,429
     Title: Rules-Based Accounting System for Securities Transactions
     Inventor: Philip M. GREEN
     Our Reference No.: 0123357

Dear Sir/Madam:

Enclosed herewith for filing in the above-identified application are the following:

1. Transmittal Letter (2 pgs.);

2. Amendment After Final Pursuant to 37 C.F.R. §1.116 and Request for Reconsideration (7 pgs.); and

3. Self-addressed, prepaid postcard to acknowledge receipt of documents.

Very truly yours,

Allan W. Watts

AWW:dlm
Enclosures

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this document (and any referred to as being attached or enclosed) is on **November 22, 2006**, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any trademark registration issued thereon.

Donna Miranda

Printed Name: Donna Miranda